

## *Privacy Notice*

Maintaining the trust and confidence of our clients is a high priority. That is why we want you to understand how we protect your privacy when we collect and use information about you, and the steps that we take to safeguard that information. This notice is provided to you on behalf of Willamette Financial Group, LLC (WFG).

### **Information We Collect:**

In connection with providing investment products, financial advice or other services, we obtain non-public personal information about you, including:

- ❖ Information we receive from you on your agreements and applications;
- ❖ Information received from credit or service bureaus or other third party; and
- ❖ Information about your transactions with us or others, including your WFG Associate.

### **Information We Disclose:**

We will not disclose information regarding you or your account with us, except under the following circumstances:

- ❖ To your authorized WFG Associate and his or her manager;
- ❖ To establish or maintain an account with an unaffiliated third party, such as a clearing broker providing services to you and/or SAGEPOINT FINANCIAL INC.;
- ❖ To government entities or other third parties in response to subpoenas or other legal process as required by law;
- ❖ To our Broker Dealer, SagePoint Financial, Inc. and its parent company, AIG Advisor Group, Inc. or their affiliates, to the extent permitted by law.

### **Our Security Policy:**

Only those individuals who need it to perform their jobs are authorized to have access to confidential client information. We maintain physical, electronic, and procedural security measures that comply with applicable state and federal regulations to safeguard confidential client information.

### **Closed or Inactive Accounts:**

If you decide to close your account(s) or become an inactive customer, we will adhere to the privacy policies and practices as described in this notice.

### **Complaint Notification:**

Please direct complaints to: Willamette Financial Group, LLC, Attn: Legal Department, 3550 Liberty Rd S Ste 230, Salem OR 97302. 503-566-7266 x102

### **Changes to This Privacy Policy:**

If we make any substantial changes in the way we use or disseminate confidential information, we will notify you.

If you have any questions concerning this Privacy Policy, please write to our Legal Department at 3550 Liberty Rd S Ste 230, Salem OR 97302.